



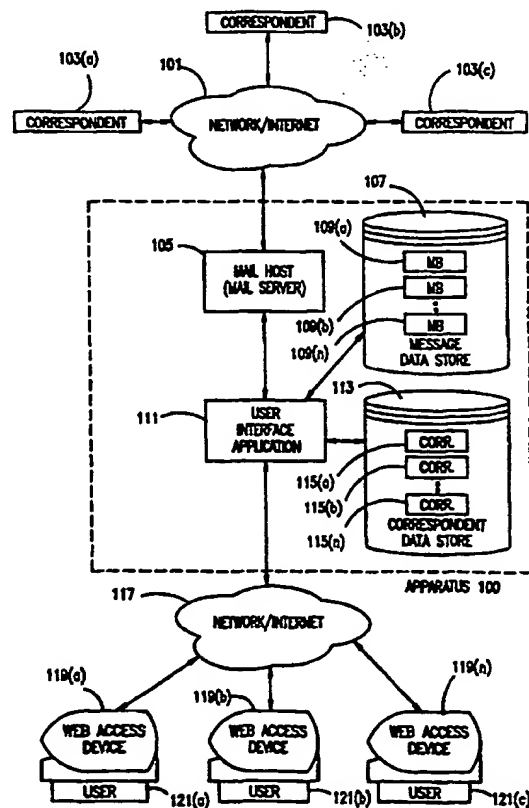
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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| (21) International Application Number: <b>PCT/US98/14886</b><br>(22) International Filing Date: <b>18 July 1998 (18.07.98)</b><br>(30) Priority Data:<br>60/053,070                      18 July 1997 (18.07.97)                      US<br>(71) Applicant (for all designated States except US): <b>NET EXCHANGE, INC. [US/US]; Suite 854, 11 Broadway, New York, NY 10004 (US).</b><br>(72) Inventors; and<br>(75) Inventors/Applicants (for US only): <b>MILLER, Stephen, S. [US/US]; Apartment 6K, 8 Gramercy Park South, New York, NY 10003 (US). ROSS, Lewis, Edward [US/US]; 814 Empire Avenue, Far Rockaway, NY 11691 (US). SHAALAN, Mohammed, S. [EG/US]; 800 Kearney Avenue, Kearny, NJ 07032 (US).</b><br>(74) Agent: <b>GABLE, R., Lewis; Cowan, Liebowitz &amp; Latman, P.C., 1133 Avenue of the Americas, New York, NY 10036-6799 (US).</b> |  | (81) Designated States: <b>AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</b><br><br><b>Published</b><br><i>With international search report.</i> |   |

(54) Title: APPARATUS AND METHOD FOR EFFECTING CORRESPONDENT-CENTRIC ELECTRONIC MAIL

## (57) Abstract

Techniques to make e-mail correspondent-centric rather than message-centric (985-999), and reduce junk e-mail (1001-1091). Tabulates (985-999), maintains (985-999), and updates (115(a), 115(b), ..., 115(n), 215) useful information about the user's chosen correspondents, and the history and status of each correspondence series. Filters incoming messages from an unrecognized sender (1013-1031, 1061-1075), asking user (1019) whether to add sender to correspondent list, and if so prompts user (1023) for needed information. Eliminates the need to search for e-mail addresses. Facilitates viewing sequential messages to and from a correspondent. Provides an effective tool to eliminate junk-mail (1013-1031, 1061-1075) by making it simpler and more practical to screen messages or change one's e-mail address. When user (121(a), 121(b), 121(c)) changes his e-mail address, automates notification of user's chosen correspondents, and in some cases can automatically update such correspondents' e-mail address lists. Eliminates need to manually create and maintain mailboxes or folders (985-999). Allows automated organization of e-mail by correspondent (701-711, 215). Is easier to learn and use than previous forms of e-mail.



5                    APPARATUS AND METHOD FOR EFFECTING  
CORRESPONDENT-CENTRIC ELECTRONIC MAIL

FIELD OF THE INVENTION

10            This invention concerns electronic mail, and in particular a correspondent-centric way of organizing and processing e-mail to enhance setup, ease of use, convenience, storage, and functionality of e-mail. For end-users the invention simplifies and improves the management of messages  
15 and e-mail addresses, helps manage and reduce junk e-mail, and makes it easier to manage multiple mail-boxes. The invention also helps organizations set up and manage group e-mail systems with less effort and inconvenience, and at lower cost.

20                    BACKGROUND OF THE INVENTION

          E-mail is widely used today and its rapid growth is expected to continue. Over 70 million people use e-mail, sending over 200 million messages daily. Usage is expected to grow by 50% this year, with rapid growth projected for the  
25 foreseeable future

          However, despite e-mail's growing popularity, current e-mail systems have various drawbacks. These include the fact that e-mail systems are hard to use (particularly for non-technical users), that users are often plagued with excessive  
30 junk e-mail, and others drawbacks which will be described below.

such as HotMail and Yahoo Mail.

The most widely used e-mail protocols today are POP3 and SMTP. POP3 ("Post Office Protocol 3", as specified in RFC 1725) is an interface standard designed to facilitate mail management locally on the user's e-mail device. Any POP3-compliant client can receive e-mail through a POP3-compliant e-mail server. (Note: a recent interface protocol, IMAP4 - RFC 1730, is similar to POP3 except that it gives the client the option of sharing additional functionality with the server.) Likewise, SMTP (Simple Mail Transfer Protocol, as specified in RFC 821) is an interface used by e-mail servers to exchange messages with other servers. In order to exchange mail over the Internet, servers in both client-server and host-based e-mail systems must be SMTP-compliant.

POP3 and SMTP-based e-mail softwares create, send, and store e-mail in a standard format that does not lend itself to certain functions (that format is specified in RFC 822). These standard e-mail messages are self-contained strings of text, delimited into several standardized fields. Key fields in the messages text string include "header" information (e.g. sender's e-mail address, recipients' e-mail addresses, date/time sent, topic, etc.), and message "body". Other fields can be appended, but are principally useful only if sender's or receiver's e-mail system can recognize and use them.

These e-mail softwares store and let the user view these

correspondents, because the sender and receiver address fields allow many different text formats for messages sent to the same e-mail address.

Another problem with prior art systems is that they  
5 don't manage e-mail address lists well. Just as with  
handling of e-mail messages, the prior art handles e-mail  
address lists as flat files with no intelligent linking  
either to other e-mail address lists or to messages. Also,  
prior art e-mail address lists must be painstakingly created  
10 and managed by the user, rather than being automatically  
created based on correspondence.

The proliferation of junk e-mail is another problem with  
the prior art. Junk e-mail - often called "spam" - has  
lately become so pervasive that a Wall Street Journal article  
15 recently opined that spamming "has no foolproof solutions."  
Unfortunately, it is impossible to prevent spam by excluding  
messages from offending e-mailers, because spammers can  
easily fake their sender e-mail address. The prior art  
attempted to deal with spam by letting users create e-mail  
20 filters in their local e-mail system. Such a filter sorts  
incoming e-mail for the recipient into categories determined  
by the user. The filter simply scans each e-mail message as  
it reaches the recipient and determines what category it  
should be placed into. One category is, of course, "discard."  
25 Messages which the filter places in that category are  
automatically discarded. However, these filters have two  
disadvantages. First, they are hard to create, and

message is stored in two to four places (e.g., in client-server systems, the message is stored on sender's client computer, recipient's client computer, and often on both sender's and receiver's server; in host-based systems, the  
5 server stores the message in a file for the sender and again in a file for the receiver). Further if a user addresses a message to ten people, then as many as 22 identical copies of that message may reside on the clients and servers of the sender and his addressees!

10 The second storage problem with the prior art happens when a user wants to file a message under more than one topic. The prior art does this by filing a copy of the message in each file (or folder) selected by the user. If a prior art user wants to store a message under ten topics,  
15 then ten copies of the message will be stored (and in the more recent IMAP4 systems as many as 20 copies of the message will be stored - 10 on the client and 10 on the server!).

The problems with the prior art exist because since the time of e-mail's development in the 1960's and early 1970's,  
20 e-mail has been based on the currently outdated "flat-file" database technology. Flat-file databases, also called also "non-relational" databases, store information as a simple series of "records", each containing identical "fields" of information (like subsequent rows a spreadsheet, each  
25 containing one field of information for each column of the spreadsheet). E-mail messages were structured as flat-file records - self-contained strings of text, delimited into

1. It does not organize e-mail automatically - instead requires users to organize their e-mail manually; Inboxes and Outboxes grow large and unwieldy because messages are not automatically filed;
- 5 2. Hard to see on a single screen the chronological correspondence to and from a given correspondent;
3. Users cannot view on a single screen consolidated information about their correspondence history with multiple correspondents;
- 10 4. Hard to remember or find correspondents' e-mail addresses;
5. Doesn't remind users about key information triggers, such as whether the last correspondence with a party was incoming or outgoing, and which  
15 correspondences have lapsed.
6. Hard to find past messages;
7. Hard to view groups of past messages in meaningful ways;
8. Users can view messages from only one folder at a  
20 time;
9. Time consuming to set up, maintain, and use multiple e-mail address lists;
10. Hard to identify or screen junk e-mail;

interface which has made it easier to write e-mail programs, but not easier to use them. Problems with prior art e-mail systems include the following: they are hard to use, don't manage messages in optimal ways, fail to manage e-mail addresses well, suffer from excess junk e-mail, make it difficult to manage multiple mailboxes, and are inconvenient for organizations to set up and maintain.

#### OBJECTS AND ADVANTAGES

The object of the invention is to provide a simple, easy-to-use, intuitive e-mail system with enhanced protections from junk e-mail, and which overcomes various drawbacks of prior art e-mail systems.

Accordingly, several objects of the invention are as follows:

1. View consolidated information about their correspondence history with all correspondents.
2. Easily view a chronological correspondence to and from a given correspondent.
3. Avoid the inconvenience of remembering or looking up e-mail addresses.
4. Eliminate or reduce junk e-mail by either screening incoming mail by correspondent, or conveniently changing one's e-mail address while simultaneously effecting the change in the systems of desired correspondents.
5. Have their e-mail organized automatically by the system, rather than having to organize it manually.

FIG. 8 is a user screen showing the "Change E-mail Address" option for the preferred embodiment.

FIG. 9A is a high level system architecture diagram of the invention.

5        FIG. 9B is a functional block diagram of the internal structure of the incoming message server.

FIG. 9C is a functional block diagram of the queue manager server.

10       FIG. 9D is a functional block diagram of the internal structure of the mass storage server.

FIG. 9E is a functional block diagram illustrating the outgoing queue manager/message server.

15       FIGS. 9F and G illustrate respectively a generalized and a more particular diagram of the data tables comprising the mass storage and the relationships between the data tables.

FIG. 9H is an object relation diagram which illustrates the structure of the message object.

FIGS. 10A and B comprise together a flow diagram showing how an input message is processed.

20       FIG. 10C is a flow diagram showing how an output message to be transmitted is processed.

FIGS. 11A, B, C and D respectively illustrate the data structure of a request for retrieving a message, a correspondent information request, a correspondent message history request and a topic content request.

25

FIG. 12 is a table showing all of the correspondent



This embodiment assumes that clients will access their e-mail through the Internet using a Web browser installed on any Internet access device. (This configuration will be further described below.)

5           Apparatus 100 is employed in network 101 which connects any number of e-mail users or correspondents 103 (a ... n). Network 101 may be the Internet, a commercial e-mail network, or a privately owned network system. Each correspondent 103 is connected to network 101 by means of a link over which the  
10 correspondent 103 can send and receive e-mail messages. Mail or message items are sent by correspondents 103 to and from each other. Apparatus 100 allows users 121 (a ... n) to send and receive e-mail messages of whatever type used in the network (typically internet mail standard messages).

15           When a new message is received by apparatus 100 from network 101, it is intercepted by mail host 105 (also called a mail server). Mail host 105 can be any computer configured as a mail server or mail host, having e-mail server software installed, such as Sendmail (for UNIX servers), other  
20 internet standard mail servers, or a proprietary mail server such as Lotus Notes, CC Mail, or Microsoft Exchange. When mail host 105 receives an incoming message from Network 101 it handles the message in the standard way, identifying the appropriate recipient. However, traditionally mail host 105  
25 would post the message directly to the message data store

could be a personal computers, network computers, televisions with WebTV units attached, Web telephones, or other Web access devices which are currently being developed.

When an e-mail user uses apparatus 100 to access his e-mail, he will use his Web browser to link to apparatus 100 through Network/Internet 117. When he links to user interface application 111 he will see, using his web browser software, an interface which combines information from message data store 107 with correspondent data store 113. This combination allows novel views of e-mail such as those shown in FIGS. 5 - 8.

When an e-mail user uses apparatus 100 to send an e-mail message, the message is posted to message data store 107, and in addition, information from the message is used to update correspondent data store 113. The message is then sent to the appropriate recipient through either network 101 or network 117, as appropriate.

FIG. 2 shows a high-level overview of another embodiment of the invention, shown as apparatus 200. This embodiment assumes that e-mail users will have an e-mail software which embodies the invention installed on their local computer (more about this below).

Most of the components of FIG. 2 are similar to those of FIG. 1, and are labeled with the same numbers except that the

users 121 (a...n) or user 221 corresponds. Each subsequent line in the table describes the specific information for each of correspondents 103 (a...n) or 203 (a...n).

FIG. 4 shows new message processing 400. For incoming  
5 messages, new message processing 400 is applied to each message to assure that, before saving the message to message store 109 (a...n) or 209, the message is linked to the appropriate correspondence record in correspondent data store 115 (a...n) or 215, and so that the correspondent data store  
10 record can be updated.

New message processing 400 starts after a message is received by mail host 105 or 205 and has been transmitted by the mail host to user interface application 111 or 211. We will assume here that the new incoming message is addressed  
15 to user 121(a) or 221(a). Such message would have either been sent through network 101 or 201 from a correspondent 103 (a...n) or 203 (a...n), or alternatively from a user 121 (b...n) or 221 (b...n), transmitted through network 117 or 217. Upon receipt of this message, mail host 105 or 205 would transmit  
20 the message to user interface application 111 (in the case of apparatus 100) or through network 217 to user interface application 211 (in the case of apparatus 200). Upon receipt, user interface application 111 or 211 would begin new message processing 400.

to determine if there is information to guess the name of the sender. For example, the name of the sender is often included within <...> brackets in the sender e-mail address field. If the answer to step 407 is yes, this information is temporarily stored as default sender name. Otherwise, step 409 is applied to temporarily store a generic sender name (such as "unrecognized sender," or "?") as the default sender name for the message.

Step 411 then prompts the user whether he/she wants to store or delete the message. (In making this decision the user can optionally read the text of the message.) If the user response in step 413 is "delete," step 415 deletes the message. If the user's response in step 413 is "store", user interface application 111/211 proceeds to process step 417.

Step 417 displays the currently stored default sender name for the message in a text box which can be revised by the user. Step 417 also asks the user to perform step 419, in which the user either accepts the default sender name, or revises it and confirm the revision.

The user interface application 111/211 then performs step 421, which is to save the message to the message data store 107, noting the record number of the newly saved record, which will be used in step 423.

In step 423 a new record is created in the correspondent

correspondent table in FIG. 5. Option 505 allows the sender to automatically delete these two messages from unrecognized senders.

FIG. 6 shows the user screen seen when the user clicked on the first line in table 500, line 501. Note that the user sees not only the message from the sender indicated in 501, but he also sees past incoming and outgoing correspondence, in reverse chronological order, with that sender.

FIG. 7 shows the screen the user sees when he clicks on line 507 in FIG. 5. The user can instantly open a pre-addressed e-mail screen to communicate with any user in column 701 by clicking on the user's name. The user can open an e-mail window pre-addressed to multiple users by clicking on boxes in the three columns in 703, then clicking on the confirm button 711 below. Note also that the user can see the date of his last incoming or outgoing message with each correspondent by looking in column 705. Further, the user can see whether that message was incoming or outgoing by looking in column 707. And the user can also see how many previous incoming or outgoing messages are on file for each correspondent by looking in column 709. Each of these capabilities are made possible by referencing the information in the table in FIG. 3, reflecting correspondent data stores 115 for the respective user, or 215.

FIG. 8 shows a user screen which can be used to

decisions in a fraction of the time required to re-scan the message every time searching for a field.

After a Message has been converted to a Message Object,  
5 the incoming Message Server (903) sends the object to one of  
one (or more) identical Queue Manager servers (907). The  
function of the Queue Manager is to sort messages according  
to a given priority algorithm, then send them one at a time  
to the Mass Storage Server (909). If one Queue Manager server  
10 becomes overloaded, some of the objects on this server will  
migrate to another Queue Manager server according to a given  
algorithm.

Mass Storage is where all data and system information is  
15 stored, searched, and updated through Queue Manager servers  
(907) and Application Servers (913)

An Application Server is responsible for providing  
transformations upon Message Objects moving between User  
20 Interface Servers (915) on one hand, and the Mass Storage  
Server (909) and Outgoing Queue Manager servers (917) on the  
other hand. Also, the Application Server communicates with a  
State Server (913) to temporarily store current login  
information about a specific user. The State Servers (913)  
25 and Application Servers (911) together provide a way of  
keeping track of user activity or state during a given  
session. The State is stored for a limited amount of time  
before being discarded.

firewall, thus providing a high level of security for data stored on the Mass Storage (909).

Figure 9-B shows the internal structure of the Incoming Message Server (903). As shown in figure 9-B, the Incoming Message (901) is delivered to a Device-Specific Driver/Daemon (931) which handles transport media-dependent incoming messages according to their media (e-mail SMTP daemon, Fax Receiver, etc.).

10 After being converted to a stream, file, or other standard input forms, the Message is passed to a Local Delivery Agent (933), which receives a request from a Device-Specific Driver (931) to deliver a message to the local machine users. A local delivery agent converts the message  
15 from media-dependent to a stream format, and sends that to a Message Parser (935).

The Message parser 935 converts the message stream to a media-independent message object.

20

Through parsing, the message key fields are extracted from message headers and stored in message object properties (attributes) to be accessed by other system components. After the message object has been populated with data, it is then  
25 sent to an Object Trading Layer (937) which is responsible for delivering a given message object to the least loaded Queue Manager server (907) according to work load statistics provided by the Queue Managers (907)

sends a series of remote method invocations to the Mass Storage Interface (951), which in turn knows how to deal with the internal structure of the Mass Storage.

5        Figure 9D shows the internal structure of the Mass Storage Server (909). As shown in figure 9D, a Mass Storage Interface 951 provides high level methods that will be called by the Object Insertion Module (945) through RMI (Remote Method Invocation) to store Message Objects. The Mass  
10        Storage Interface 951 is the responsible for the actual communication with the Mass Storage Server, also referred to as the Database (953). The Mass Storage (953) is the actual location for storing and manipulating users' Messages, Correspondents, and Topic information. See figure 9-F for  
15        details on the entity relationship diagram of the database.

Figure 9E shows the internal structure of the Outgoing Queue Manager/Message Server (917).

20        As shown in figure 9E, an Object Trading/Migration Layer (961) communicates with the Object Trading Layer of the Application Server (911). Both layers work to deliver Message Objects with embedded outgoing message information.

25        The Migration Layer communicates with the Object Queue Manager (963) to deliver objects to the next unloaded Queue Manager. The Object Trading/Migration Layer (961) passes the message to an Outgoing queue Manager (963) which holds



Figure 9-G is lower level entity-relationship diagram. The blocks shown in 9G represent the same data tables as those in Figure 9-F, with the only difference being that in 5 9G each block contains additional description about the information stored within the data table represented by that block.

In both 9F and 9G, lines 999 connecting pairs of data 10 tables indicate that those two tables are "related," which means that the records in one data table may be linked to records in the other. The connecting points of these lines sometimes fork into three prongs, which indicates that multiple records from a table so marked may be linked to a 15 single record in the related table - a "many-to-one" relationship. the relationship is also indicated by the digits "m" (many) or "1" (one) next to the point where each relationship line intersects with a block representing a table.

20

The block or data table numbers in 9F and 9G are identical, except that the data tables in 9G are labeled with a "'" symbol (e.g., data table 985' in Figure 9F is labeled 985' (with an apostrophe) in 9G.

25

As shown in figure 9-G, User table (985) maintains information about each user of the invention. User ID is a unique identifier for that user. Other information in the

address. Other information about correspondents in the User-Correspondent data table may include first and last name, description, comments, phone, address, etc.

5           Note that Correspondent data table 989 embodies several key innovations in the Invention. (1) Whereas in the prior art, each e-mail address on an e-mail address list must be consciously entered by the user, in the Invention the Correspondent data table becomes an e-mail address list, and  
10 the system automatically creates posts an entry to the Correspondent data table for any message sent to or accepted from a correspondent not already contained in the Correspondent data table (see more about this process in Figures 10A, 10B, and 10C below). This feature greatly  
15 simplifies the task of keeping track of e-mail addresses. (2) Correspondent data table 989 can maintain additional information about correspondents, which can be displayed in helpful ways. For example, while prior art messages often come from a sender whose identity is not readily  
20 recognizable, a User of the Invention can identify a name or descriptive term for each correspondent, so that upon receiving a message from something like as jxam5@domain.com, the system will inform the User that the message is from RealName@domain.com. (3) The Correspondent data table gives  
25 the Invention a completely new and powerful way to identify and deal with junk e-mail. Where as all junk-mail filtering systems to date are "negative filters" (i.e., they search for information within a message to be used to identify the

pointer information to a single message in Message data table 993 and a single correspondent in Correspondent data table 989.

5       Note that the Message-Correspondent Relationship data table 995 is a key innovation in the Invention. Whereas in prior art e-mail systems at least one instance of a message must be stored on a computer somewhere for every party to a message (i.e. the sender and each address), in the Invention  
10   the message is stored only once, without regard to the number of parties to the message. The Invention accomplishes this result by replacing the prior art's multiple instances of the same message, with a single copy of the message, and multiple instances of only short pointer records, which are stored in  
15   the Message-Correspondent Relationship data table 995.

Another key innovation of the Invention which is embodied in the Message - Correspondent Relationship data table 995 is that the Invention can automatically link all  
20   messages to and from a given correspondent. This facilitates unique reports such as Figures 6, as well as columns 705, 707, and 709 in Figure 7.

Topic data table 991 represents topics which users can  
25   create to categorize their messages, so that it is easier to retrieve messages when they are needed in the future. This table contains a list of all topics which a User has created for incoming and outgoing messages pertaining to to Email Box

Figure 9-H is an object relation diagram which describes the structure of the Message Object. The Message Object represents the information contained in the message string, however in a more readable format. Using this format, it is easier for the system to handle logic decisions in a fraction of the time required to re-scan the message every time searching for a field.

As shown in figure 9-H, the Message Object (1101) is composed of a set of properties, and two vectors (dynamic arrays). The first vector is the recipient vector (1103) which contains a number of recipient objects (1105). The second vector is the attachment vector (1107) which contains a number of attachments object (1109).

The Properties of Message Object (1101) includes.

|                |  |
|----------------|--|
| CharSet        | Character set used to compose the      |
| MessageHeader  | Contain complete header of the message |
| SubType        | Content Subtype                        |
| Type           | Content Type                           |
| Date           | Date Message was sent                  |
| FromFirstName  | Senders First Name                     |
| FromLastName   | Senders Last Name                      |
| FromAddress    | Senders e-mail address                 |
| MessageID      | Message Universal unique ID            |
| MessageBody    | Contain body of message                |
| Priority       | Message priority                       |
| ReplyToName    | Reply to name                          |
| ReplyToAddress | Reply to E-mail address                |
| Subject        | Message Subject                        |
| MimeVersion    | Contain information about MIME format  |

The properties of Recipient Object (1105) includes.

used for web surfing or in correspondence with un-trusted correspondents), or the e-mail is a trusted e-mail address (i.e. used in correspondence with trusted correspondents).

5           In case of an un-trusted e-mail address, the database is searched in step 1013 for a matching correspondent address in the correspondent data store (989 of figure 9-G) if the correspondent address exists, then the message is saved in step 1015 into the message data store 993 of figure 9-G, the  
10   relation between the user, correspondent and the message is stored by step 1017 in the Message - Correspondent data store 995 of figure 9-G.

          However if the correspondent address does not exist in  
15   the correspondent data store, then step 1019 prompts the user to either store or delete the message. If the user response was to delete the message, then step 1031 deletes the message from the message data store.

20           If the user response is to store the message, step 1023 prompts the user to either accept or revise the user information before being stored in the correspondent data store. Step 1029 stores the message in the message data store and the relation between the user, correspondent and  
25   the message is stored by step 1029 in the Message - Correspondent data store.

Trusted e-mail is processed as shown in Figure 10-B.

- 2- The user chooses by step 1077 to send the message back to the correspondent with a message stating that user does not exist on the server (bounce the message back).
- 3- The user chooses by step 1079 to forward the message to another e-mail address.

Figure 10C explains the business logic applied to an outgoing message. When user sends a message to the system as shown in figure 10C, the message is parsed in step 1083 and a Message Object is created. The Message Object represents the information contained in the message string, however in a more readable format. Using this format, it is easier to the system to handle logic decisions in a fraction of the time required to re-scan the message every time searching for a field.

Having the message object populated with key fields, step 1087 makes a search in the database to determine if the correspondent e-mail address exists in the Correspondent data store. If that address exists, then the message is saved by step 1089 to the message data store, the relation between the user, the correspondent and the message is stored in step 1091 in the Message - Correspondent data store.

If the recipient address was not found in the correspondent data store, then the user is requested by step 1093 to either accept or revise recipient information before being stored by step 1095 in the correspondent data store.

e-mail address id and topic id . Also 2 counter fields are presented, count 1 is used to indicate the number of messages needs to be displayed in detailed format, count 2 is used to indicate the number of messages needed to be displayed in  
5 summery format. Every two fields are separated by a separation indicator (-).

### CONCLUSION

The invention operates by taking a novel approach to e-  
10 mail from the approach in use today. Current e-mail systems, including the user interfaces they provide, take a message-centric approach to e-mail - e-mail is sorted, stored, and shown in an exclusively message-centered way, with no attention to helping the user keep track of correspondent-  
15 centered information. The invention lets the user add and maintain correspondent-centered information to the e-mail system, and take advantage of the various user-interface and privacy benefits that this approach offers.

in the second memory and, if there is a match,  
storing the second portion of that matching message  
in the second memory.

5           3. A method of receiving and evaluating the  
transmitted message as claimed in claim 2, where in step g)  
if there is no match, displaying an indication of the  
transmitted message to prompt the message user to accept or  
reject the transmitted message.

10

4. A method of evaluating and transmitting a message  
from a message user to a selected correspondent, said  
message comprising a first portion comprising the message  
content and at least one further second portion comprising  
15 the address of the correspondent for which the message is  
intended, said method comprising the steps of:

a) storing in a second memory the addresses of the  
correspondents to which

messages have been previously transmitted;

20

b) isolating the identified first portion from the  
second portion of the message to be transmitted;

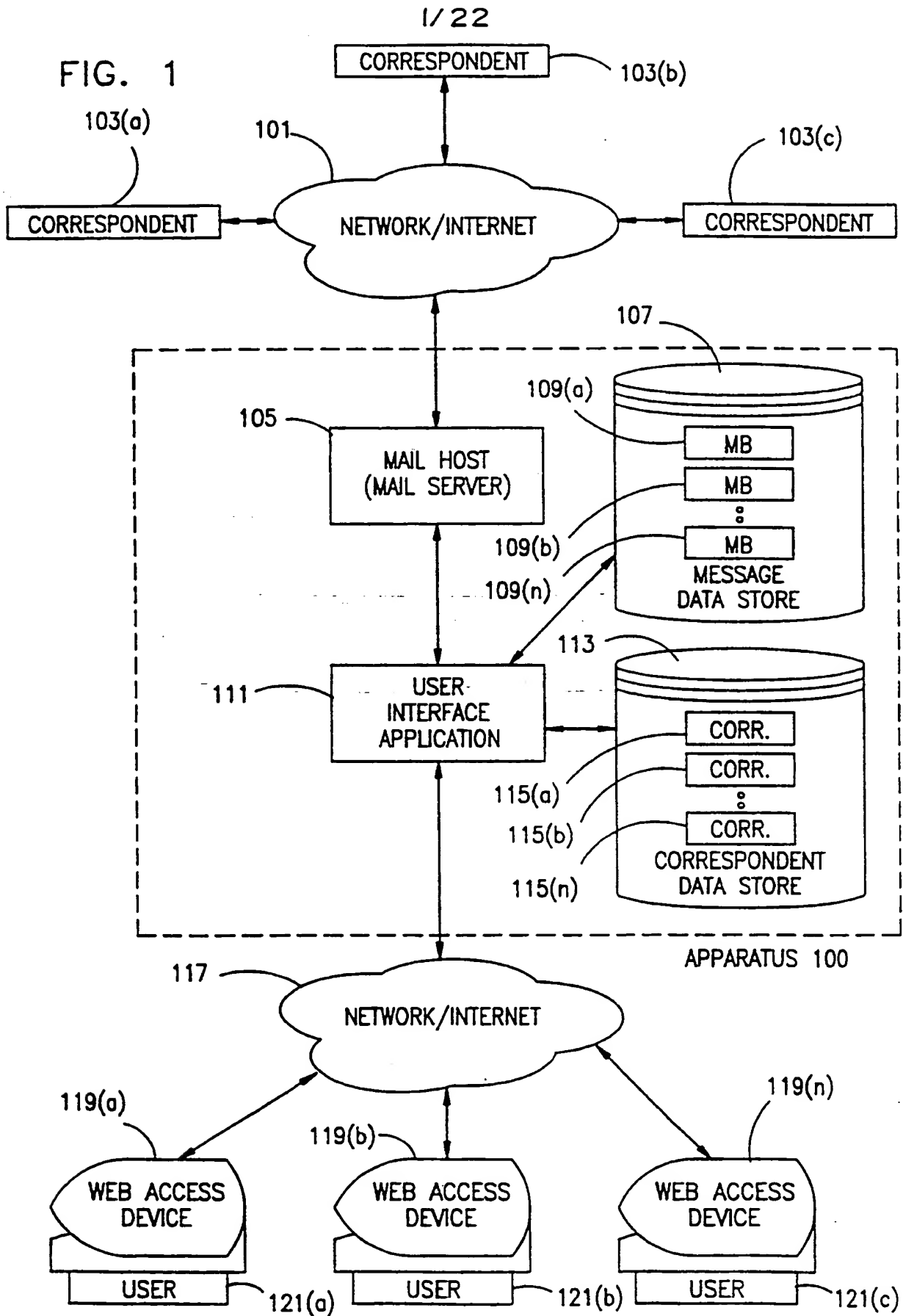
c) evaluating the message to be transmitted to  
identify the second portion;

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d) comparing the correspondent address of the message  
to be transmitted with the correspondent addresses  
stored in the second memory;

e) if there is a match of correspondent addresses in  
step d), storing a single instance of said first





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FIG. 3

## CORRESPONDENT TABLE (CORRESPONDENT DATA STORE)

115 (n) or 215

| CORRESPONDENT<br>NAME | E-MAIL<br>ADDRESS   | LINKS TO MSGS. IN<br>MSG. DATABASE | # OF MESSAGES<br>IN DATABASE  | LAST MESSAGE<br>TYPE (IN OR OUT) | DATE OF LAST<br>CORRESPONDENCE |
|-----------------------|---------------------|------------------------------------|-------------------------------|----------------------------------|--------------------------------|
| CORRESPONDENT 1       | ADDRESS FOR CORR. 1 | MSG. ID'S FOR CORR. 1              | # OF MSG.'S TO & FROM CORR. 1 | LAST MSG. STATUS, CORR. 1        | DATE FOR CORR. 1               |
| CORRESPONDENT 2       | ADDRESS FOR CORR. 2 | MSG. ID'S FOR CORR. 2              | # OF MSG.'S TO & FROM CORR. 2 | LAST MSG. STATUS, CORR. 2        | DATE FOR CORR. 2               |
| ...                   | ...                 | ...                                | ...                           | ...                              | ...                            |
| CORRESPONDENT n       | ADDRESS FOR CORR. n | MSG. ID'S FOR CORR. n              | # OF MSG.'S TO & FROM CORR. n | LAST MSG. STATUS, CORR. n        | DATE FOR CORR. n               |

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|                |  |                               |  |                                     |  |
|----------------|--|-------------------------------|--|-------------------------------------|--|
| <b>NXC.net</b> |  | <b>Your Personal NXC-Mail</b> |  | Steve Miller's<br>Personal Intranet |  |
| net exchange   |  |                               |  |                                     |  |

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Pending E-Mail

| From                               | Subject                                 | Date  | Time   | Delete                   |
|------------------------------------|---|-------|--------|--------------------------|
| 1. Paul Smythe                     | Sheryl                                  | 12/28 | 9:35a  | <input type="checkbox"/> |
| 2. Randall Simpson                 | ISDN Problem                            | 12/28 | 1:05p  | <input type="checkbox"/> |
| 3. *?ksmith223@aol.com             | 970-555-5555 [Note-unrecognized sender] | 12/29 | 7:35p  | <input type="checkbox"/> |
| 4. *?Rich Mellor (rmellor@msn.com) | - [Note-unrecognized sender]            | 12/29 | 11:29p | <input type="checkbox"/> |
| 5. Susan Nichols                   | Interesting investment                  | 12/30 | 10:35a | <input type="checkbox"/> |

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Delete Junk (Delete all above messages from unrecognized senders)

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|   |   |
|---|---|
|  | Contact List and Past Correspondence (find your contacts and past correspondences)  |
|  | Change Your E-Mail Options (eliminate junk e-mail, change your default settings, set the time period your messages will be saved, etc.) |

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Back to Welcome Page

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FIG. 5

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FIG. 6(b)

|                    |              |       |        |                          |
|--------------------|--------------|-------|--------|--------------------------|
| <u>You</u>         | Hi!          | 12/15 | 12:05p | <input type="checkbox"/> |
| <u>You</u>         | I Moved      | 12/13 | 10:05p | <input type="checkbox"/> |
| <u>Paul Smythe</u> | (no subject) | 12/10 | 11:01p | <input type="checkbox"/> |
| <u>You</u>         | Vacation     | 12/08 | 1:25p  | <input type="checkbox"/> |

Back to Your Personal E-Mail or Welcome Page

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|                |                            |                                     |
|----------------|----------------------------|-------------------------------------|
| <b>NXC.net</b> | <b>Eliminate Junk-Mail</b> | Steve Miller's<br>Personal Intranet |
| net exchange   |                            |                                     |

This page lets you completely eliminate junk mail, by changing your e-mail address so that those who don't know your new address can no longer send you e-mail. However, since we keep track of all your e-mail contacts, we let you conveniently choose which contacts will have your new e-mail address.

For your continuing contacts who use NXC-mail provider, this change will be transparent - we make the adjustment to our database, and your contact needs take no action, and merely sends you e-mail as before.

For your contacts who don't use NXC-mail, we will send them a polite e-mail message from you (which you can review and edit), notifying them of your e-mail address change so they can update their e-mail software.

Instructions: After you press the "submit" button below, only the e-mail contacts checked below will have your new e-mail address. Click on a checkbox to uncheck any names whom you don't want to have your new NXC-mail address. To decide whether to uncheck a contact, you can click on the contact name to review your correspondence history with that contact. After you complete your choices, click on the "submit" button below.

| <u>Last Name</u> | <u>1st Name</u> | <u>E-mail Address</u> | <u>Last Msg.</u> | <u>In/Out</u> | <u>NXC Mail?</u> | <u>Inform ?</u>                     |
|------------------|-----------------|-----------------------|------------------|---------------|------------------|-------------------------------------|
| Austin           | Allison         | Allison.nyc.ny        | 12/26            | In            | Yes              | <input checked="" type="checkbox"/> |
| Dougherty        | Jim             | jim4092@aol.com       | 12/23            | Out           | No               | <input checked="" type="checkbox"/> |
| Grange           | Beth            | Beth.nyc.ny           | 11/27            | In            | Yes              | <input checked="" type="checkbox"/> |
| Hardy            | Dave            | DH.nyc.ny             | 11/3             | In            | Yes              | <input checked="" type="checkbox"/> |
| Johnson          | Kim             | Kim.slc.ut            | 12/28            | Out           | Yes              | <input checked="" type="checkbox"/> |
| Johnson          | William S.      | BJohnson.nyc.ny       | 12/18            | In            | Yes              | <input checked="" type="checkbox"/> |
| Mitchell         | Ramona          | RamonaM@ix.netcom.com | 12/29            | Out           | No               | <input checked="" type="checkbox"/> |
| Nichols          | Sue             | snichols195@aol.com   | 12/28            | Out           | No               | <input checked="" type="checkbox"/> |
| Samuels          | Jackie          | jsamuels@harvard.edu  | 12/28            | In            | No               | <input checked="" type="checkbox"/> |
| Smith            | John R.         | Smitty.ny.nyc         | 12/15            | Out           | Yes              | <input checked="" type="checkbox"/> |
| Smythe           | Paul            | Paul.ny.nyc           | 12/29            | Out           | Yes              | <input checked="" type="checkbox"/> |
| Stempler         | Randall         | randall@stempler.com  | 12/27            | In            | No               | <input checked="" type="checkbox"/> |
| Williams         | Ellen           | EllenW@panix.com      | 12/05            | In            | No               | <input checked="" type="checkbox"/> |

Submit Erase Choices

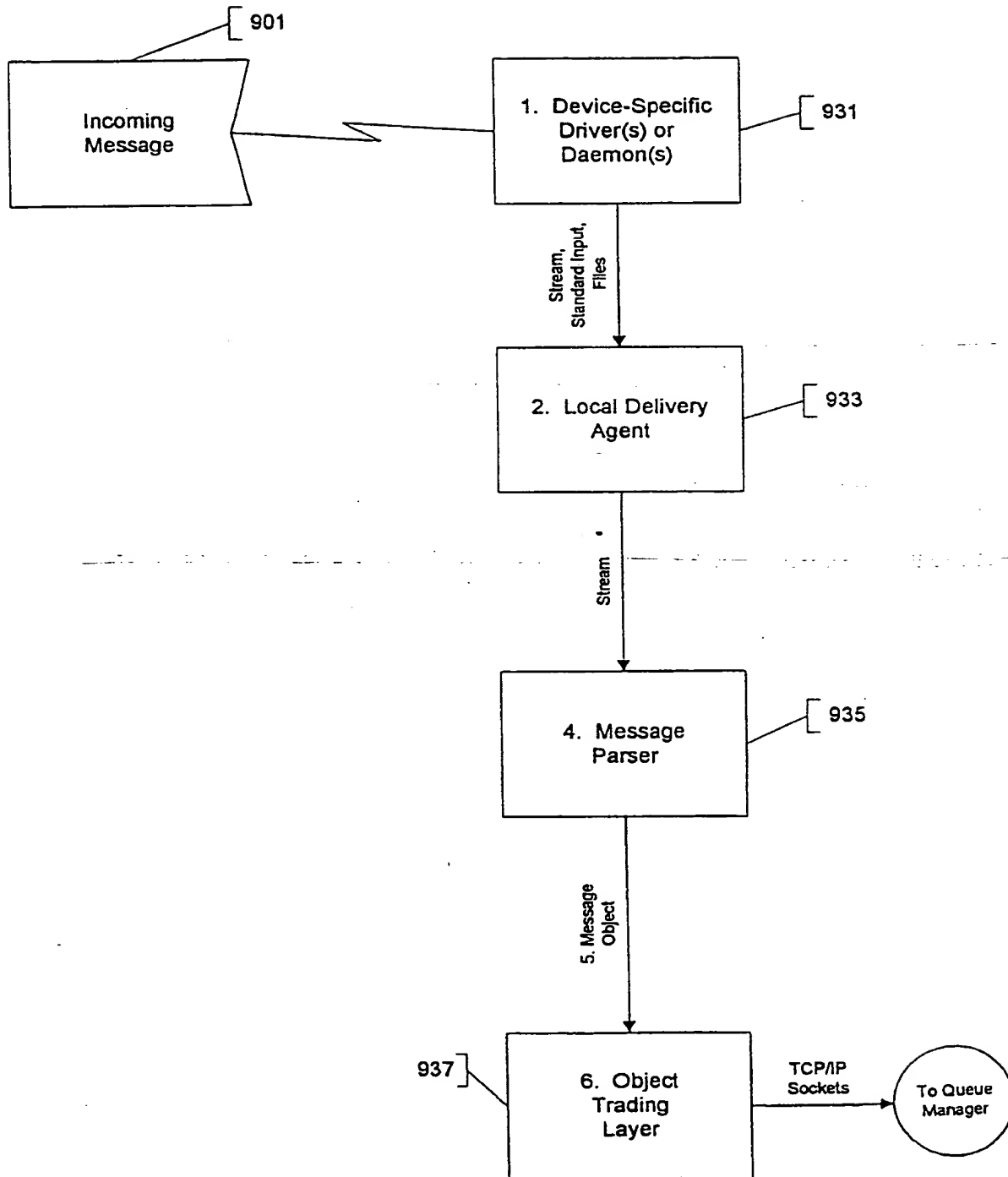
Back to Change Your Personal NXC-Mail Options, or Summary

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FIG. 8

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Figure 9 - B



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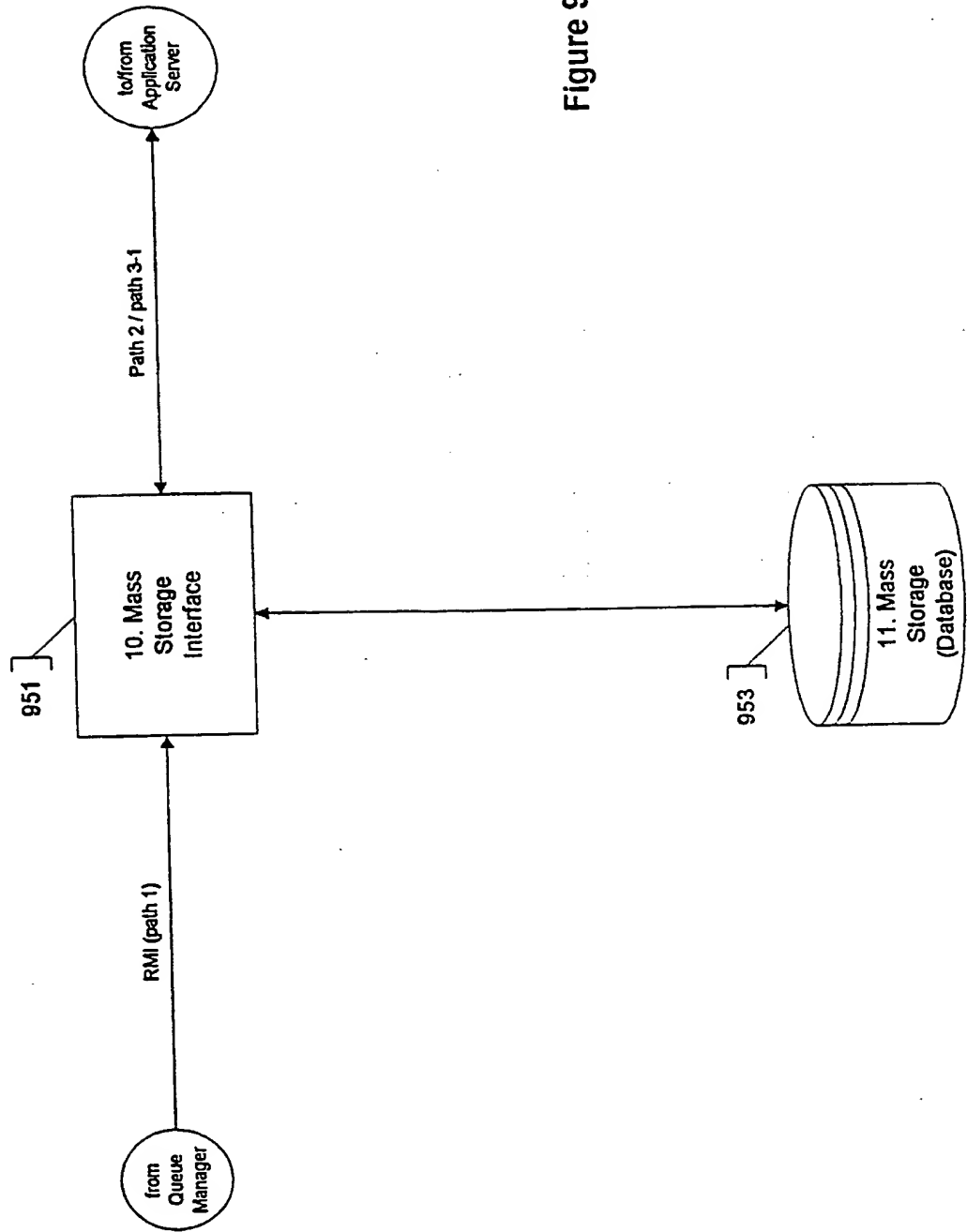


Figure 9 - D

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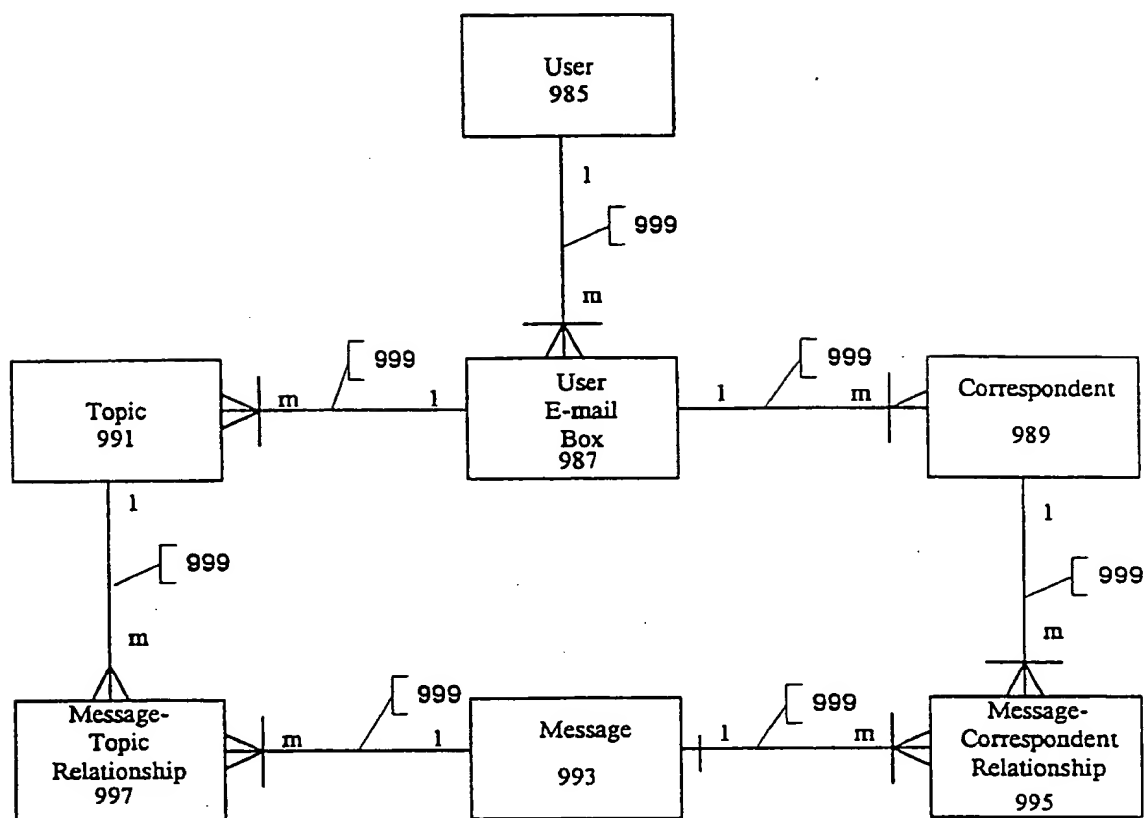


Figure 9 - F



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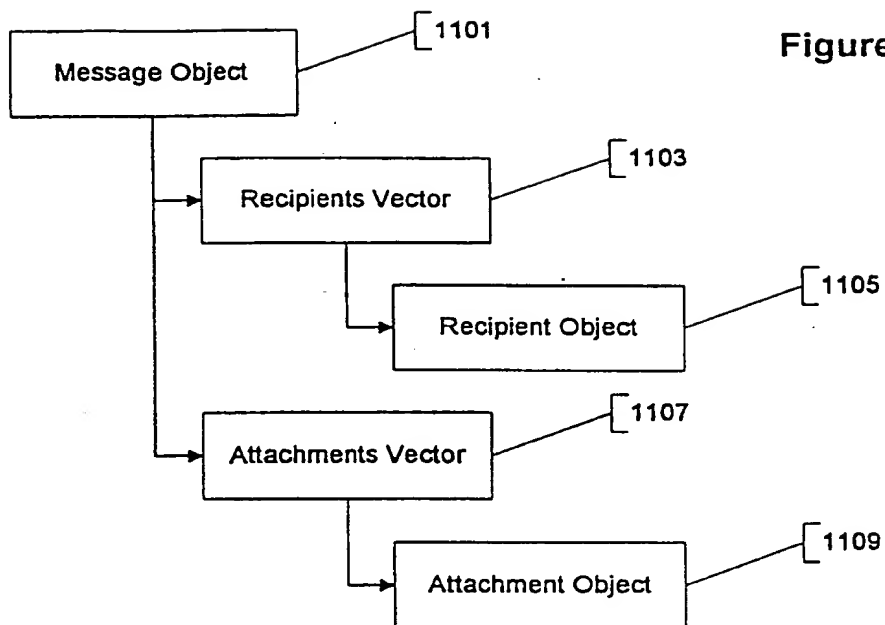
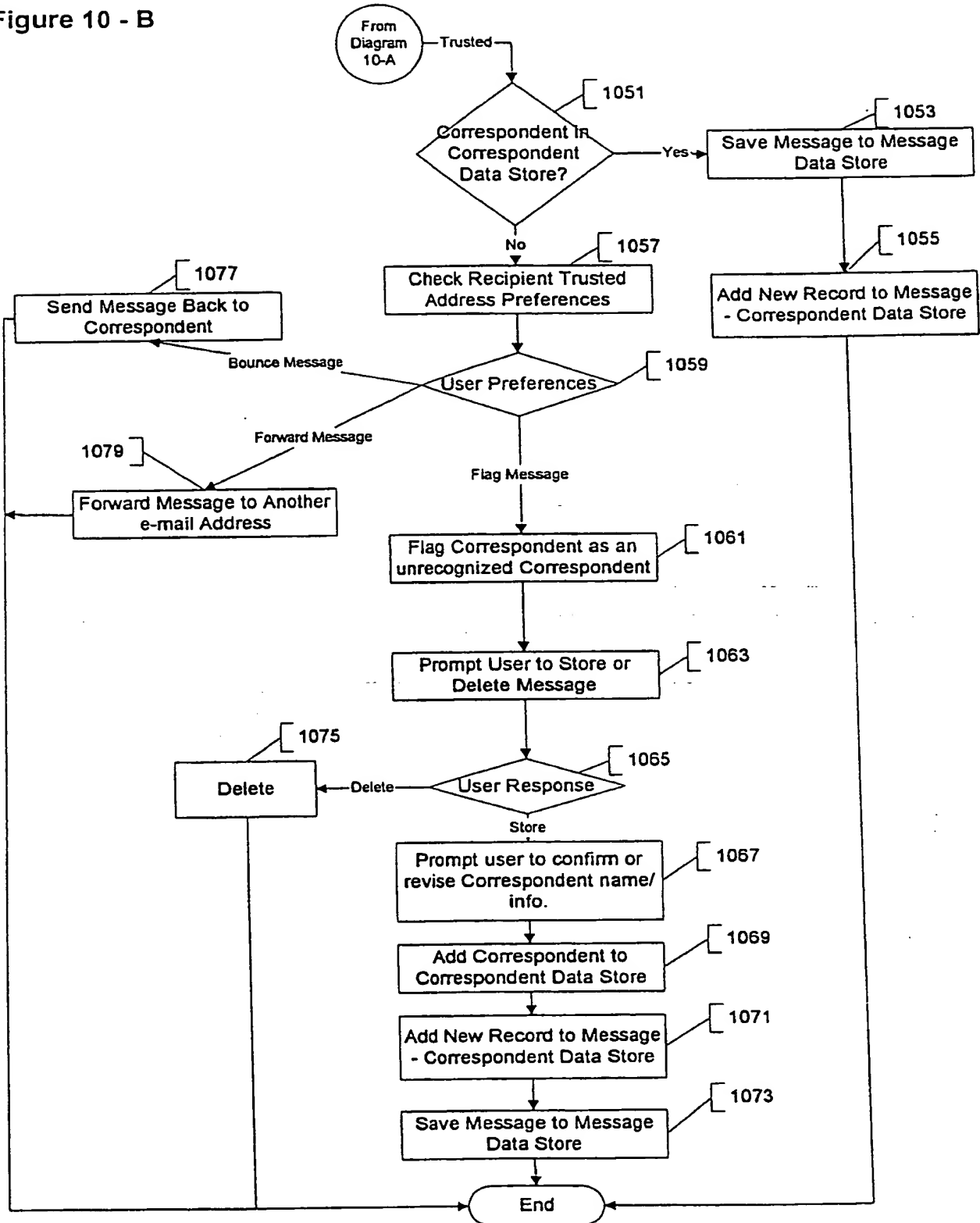


Figure 9 - H

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Figure 10 - B



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**Figure 11 A**

Message Request

|             |   |                         |   |                              |   |            |
|-------------|---|-------------------------|---|------------------------------|---|------------|
| Customer ID | - | Customer E-mail Address | - | Correspondent E-mail Address | - | Message ID |
|-------------|---|-------------------------|---|------------------------------|---|------------|

**Figure 11 B**

Correspondent Information Request

|             |   |                         |   |                              |
|-------------|---|-------------------------|---|------------------------------|
| Customer ID | - | Customer E-mail Address | - | Correspondent E-mail Address |
|-------------|---|-------------------------|---|------------------------------|

**Figure 11 C**

Correspondent History Request

|             |   |                         |   |                              |   |        |   |        |
|-------------|---|-------------------------|---|------------------------------|---|--------|---|--------|
| Customer ID | - | Customer E-mail Address | - | Correspondent E-mail Address | - | Count1 | - | Count2 |
|-------------|---|-------------------------|---|------------------------------|---|--------|---|--------|

**Figure 11 D**

Topic Contents Request

|             |   |                         |   |          |   |        |   |        |
|-------------|---|-------------------------|---|----------|---|--------|---|--------|
| Customer ID | - | Customer E-mail Address | - | Topic ID | - | Count1 | - | Count2 |
|-------------|---|-------------------------|---|----------|---|--------|---|--------|